

CUSTOMER PROFILE GUIDANCE

LEGAL BASIS:

According to Art. 8 DDA the persons subject to due diligence (Bittrex Global GmbH, Vaduz) must establish a profile of the business relationship, including in particular information concerning the origin of the assets and the purpose and intended nature of the business relationship (customer profile).

Q/A:

BASIC INFORMATION:

- Q** Why is Bittrex Global required to collect “Basic Information” on each customer?
- A** Bittrex Global is obliged by law to collect data of the contractual partner (account holder) and the beneficial owners (account holder) of the account (cf. Art. 6 Para. 1 let. a DDO in connection with Art. 11 Para. 1 DDO).

SOURCE OF FUNDS:

Q What “Source of Funds” information should be provided?

A Please provide the origin of the source of funds deposited into the account. If there are multiple sources of funds, please explain each.

! “SOURCE OF FUNDS” DETAILS REQUIRED:

Please provide explanations in complete sentences.

FOR EMPLOYMENT-/BUSINESS-/RETIREMENT-INCOME:

From which earned income/business activities/retirement plans do the assets originate?

Is there any special income which stands out?

Example:

The deposited assets originate from my employment income as a manager of XYZ limited, located in Germany. The company is specialized in manufacturing car parts with 500 employees. Annual revenue is \$ 700 million (website: www.xzy.de).

FOR SAVINGS:

Where do the savings come from? Please provide detailed information.

Please provide explanations in complete sentences.

FOR GIFT/INHERITANCE:

From whom does the gift/inheritance come from?

What is the relationship to the giver/decedent?

How much was the gift/inheritance in total?

FOR SALE OF PROPERTY/ASSETS:

Please describe the details of the property and the sales value.

A copy of the sales contract should be provided along with this form.

Example:

Plausible example:

Sale of 250 m2 penthouse apartment in Manhattan, NYC (5th Avenue) for USD 30 m.

Implausible example:

Sale of a 180 m2 apartment in small unknown village for USD 30 m. and no sales contract is available.

FOR SALE OF INVESTMENT:

Please provide detailed information of the sale of investment.

SOURCE OF WEALTH:

Q What “Source of Wealth” information should be provided?

A Please provide information regarding the economic background of the total wealth of the account holder/customer. If there is more than one origin of wealth, please choose the ones that have the largest percentage of wealth. Important note: If the assets were obtained by a third party (e.g. relatives) please provide information of their economic background.

Q How detailed must the “Source of Wealth” description be?

A The “Source of Wealth” economic background information should explain the origins of wealth (background of the total wealth) and be written in complete sentences.

SOURCE OF WEALTH CONTROL QUESTIONS:

How was the total wealth generated?

Origin of Wealth?

From whom was the wealth or parts of the wealth generated?

EMPLOYMENT STATUS / OCCUPATION:

Q Which employment status has to be chosen?

A Please choose the option that best describes your current employment status.

Q What date is requested?

A Please provide your start date of current employment.

Q What occupation/job title should I choose when I am retired or unemployed?

A Please provide the occupation/job title prior to retirement or work last employment separation.

INTENDED USE OF THE ASSETS:

Q What if the planned annual withdrawals differentiate?

A If the amount of planned withdrawals will differentiate annually, please choose the average amount (if possible over the last three years).

GENERAL:

If “other” is selected, please explain in further detail.
amount (if possible over the last three years).